



KGI Securities Investment Trust Co., Ltd.

Summary Statement of Compliance with the Stewardship Principles for
Institutional Investors

KGI Securities Investment Trust Co., Ltd. (hereinafter "the Company") engages in securities investment trust, discretionary investment management, investment advisory services, and other approved businesses. As an asset manager, the Company is committed to being a trusted member of its parent group and a reliable partner for investors.

The Company declares its adherence to the Stewardship Principles for Institutional Investors, with compliance summarized as follows:

Summary	Concrete Actions
Principle 1: Stewardship Policy Disclosure	The Company has established a stewardship policy aimed at maximizing the interests of clients, beneficiaries, and shareholders. This includes responsibilities and disclosure of stewardship actions.
Principle 2: Conflict of Interest Management	1: Client-First Principle 2: Implementation of Education and Awareness 3: Information Control, Firewall Mechanisms, and Segregation of Duties 4: Supervision and Control Mechanisms 5: Reasonable Compensation System 6: Other Conflict of Interest Management Measures 7: Remedial Measures
Principle 3:	The Company continuously monitors investee companies on financial performance, industry trends, shareholder



Continuously Monitor Investee Companies	structure, governance, and strategic risks to support informed investment decisions.
Principle 4: Engage and Interact Appropriately with Investee Companies	The Company engages in appropriate dialogue and interaction with investee companies to understand and communicate with their management regarding industry risks and strategies. The Company strives to reach consensus with investee companies on long-term value creation. Communication methods include conference calls, corporate interviews, participation in investor meetings, and attendance at shareholder meetings. If an investee company significantly violates corporate governance principles or poses risks to the long-term value of clients and beneficiaries, the Company will inquire about the handling of such issues and may collaborate with other investors to express concerns.
Principle 5: Establish and Disclose Clear Voting Policies and Voting Records	The Company has established clear voting policies to act in the best interests of clients and beneficiaries, actively voting on shareholder meeting proposals without necessarily supporting all proposals from management. To fully express opinions on shareholder meeting proposals, the Company actively exercises voting rights, primarily through electronic voting. To support corporate sustainability and effective development, the Company evaluates each proposal individually. Proposals that negatively impact sustainability, governance, environment, or society are carefully reviewed before decisions are made. If a proposal significantly affects the rights of clients or beneficiaries, the Company may take joint action with other institutional investors to exert influence.



Principle 6: Periodically Disclose Stewardship Activities	The Company periodically discloses its stewardship activities on its website matters.(https://www.kgifund.com.tw/Home/Stewardship), including this compliance statement, explanations for any principles not followed, attendance and voting at shareholder meetings of investee companies, and other significant
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KGI Securities Investment Trust Co., Ltd.

Date : 114 年 07 月 04 日